

# THE CORONA THEOREM

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We will denote the space of all complex-valued, bounded, analytic functions on the complex unit disk  $\mathbb{D}$  as  $H^\infty$ . Equipped with the supremum norm  $\|\cdot\|_\infty$  this space becomes a commutative Banach algebra. The space of all multiplicative, bounded, linear functionals on  $H^\infty$  not identically zero is denoted  $\Delta(H^\infty)$  and is called the *Gelfand space* of  $H^\infty$ . We endow this space with the subspace topology of the weak-\* topology on the topological dual  $(H^\infty)'$ , which we will refer to as the *Gelfand topology*. For each  $z \in \mathbb{D}$  we consider the point-evaluation functional

$$\pi_z : H^\infty \rightarrow \mathbb{C}, f \mapsto f(z).$$

This is clearly linear, multiplicative and bounded and therefore belongs to  $\Delta(H^\infty)$ . The set of all such functionals  $\pi_z, z \in \mathbb{D}$  will be denoted as  $\Delta_0$ . The *corona* is defined as the complement of the closure of  $\Delta_0$  in the Gelfand topology. The corona theorem now states:

**Theorem 1** (L. Carleson). The corona is empty. In other words,  $\Delta_0$  is dense in  $\Delta(H^\infty)$ .

There is an equivalent version of the theorem, as given by the following proposition:

**Proposition 2.**  $\Delta_0$  is dense in  $\Delta(H^\infty)$  if and only if for any  $\delta > 0$  and  $f_1, \dots, f_n \in H^\infty$  such that  $\sum_{j=1}^n |f_j(z)| \geq \delta, z \in \mathbb{D}$ , there exist  $g_1, \dots, g_n \in H^\infty$  such that  $\sum_{j=1}^n f_j g_j = 1$ .

*Proof.* Assume  $\Delta_0$  is dense in  $\Delta(H^\infty)$  and let  $f_1, \dots, f_n \in H^\infty$ , and  $\delta > 0$  such that  $\sum_{j=1}^n |f_j(z)| \geq \delta, z \in \mathbb{D}$ . Denote by  $I$  the ideal in  $H^\infty$  generated by  $f_1, \dots, f_n$ . If  $1 \in I$ , then the assertion is established. Assume towards a contradiction that  $I$  is a proper ideal, then there exists a maximal ideal  $J \supset I$ . Since  $\Delta(H^\infty)$  is a commutative Banach algebra, there exists a  $\phi \in \Delta(H^\infty)$  such that  $J = \ker \phi$ . Therefore we have  $\phi(f_j) = 0$  for  $j = 1, \dots, n$ . Since  $\Delta_0$  is dense, there is a net  $(\pi_{z_m})_{m \in M}$  in  $\Delta_0$  such that  $\pi_{z_m} \rightarrow \phi$  in the weak-\* topology, that is the net converges pointwise. Therefore, for all  $j = 1, \dots, n$  we have  $f_j(z_m) = \pi_{z_m}(f_j) \rightarrow \phi(f_j) = 0$  and in particular

$$\lim_{m \in M} \sum_{j=1}^n |f_j(z_m)| = 0,$$

a contradiction.

For the other implication, assume towards a contradiction that  $\Delta_0$  is not dense in  $\Delta(H^\infty)$ . Then there exists some  $\phi_0 \in \Delta(H^\infty)$  and an open neighbourhood  $U$  of  $\phi_0$  such that  $\Delta_0 \cap U = \emptyset$ . Since the sets of the form

$$\bigcap_{j=1}^n \{\phi \in \Delta(H^\infty) : |(\phi - \phi_0)(f_j)| < \varepsilon\},$$

for some  $n \in \mathbb{N}$ ,  $f_1, \dots, f_n \in H^\infty$  and  $\varepsilon > 0$ , form a neighbourhood basis of  $\phi_0$  in the weak-\* topology, there exists a neighbourhood  $V \subseteq U$  described by some  $n \in \mathbb{N}$ ,  $f_1, \dots, f_n \in H^\infty$  and  $\delta > 0$ . Define  $\tilde{f}_j := f_j - \phi_0(f_j)$ , for  $j = 1, \dots, n$ , then clearly  $\phi_0(\tilde{f}_j) = 0$ . Since  $\Delta_0 \cap V = \emptyset$ , for any  $z \in \mathbb{D}$  we have  $\pi_z \notin V$  and therefore there exists some  $j_0 \in \{1, \dots, n\}$  such that,

$$\delta \leq |(\pi_z - \phi_0)(f_{j_0})| = |f_{j_0}(z) - \phi_0(f_{j_0})| = |\tilde{f}_{j_0}(z)|.$$

Since  $\tilde{f}_j \in H^\infty$  for  $j = 1, \dots, n$ , and  $\sum_{j=1}^n |\tilde{f}_j(z)| \geq \delta$ , there exist  $g_1, \dots, g_n \in H^\infty$  such that  $\sum_{j=1}^n \tilde{f}_j g_j = 1$ . But this yields

$$1 = \phi_0(1) = \phi_0\left(\sum_{j=1}^n \tilde{f}_j g_j\right) = \sum_{j=1}^n \phi_0(\tilde{f}_j) \phi_0(g_j) = 0,$$

a contradiction. □

## 1 First Steps

Over the following sections we will prove a stronger version of the right-hand statement in Proposition 2:

**Theorem 3.** There exist constants  $C_{n,\delta}$  only depending on  $n \in \mathbb{N}$  and  $\delta > 0$ , such that if  $f_1, \dots, f_n \in \text{Hol}(\mathbb{D})$  with

$$\|f_j\|_\infty \leq 1, \quad j = 1, \dots, n, \quad \text{and} \quad \sum_{j=1}^n |f_j(z)|^2 \geq \delta, \quad z \in \mathbb{D},$$

then there exist  $g_1, \dots, g_n \in \text{Hol}(\mathbb{D})$  with

$$\|g_j\|_\infty \leq C_{n,\delta}, \quad j = 1, \dots, n, \quad \text{and} \quad \sum_{j=1}^n f_j g_j = 1.$$

*Proof.* We will give the proof in multiple steps. First, for a closed set  $A \subset \mathbb{C}$  and a space of functions on an open sets  $\Omega \supset A$ , say  $D(\Omega)$ , we define

$$D(A) := \bigcup_{\Omega \supset A \text{ open}} T(D(\Omega)), \quad \text{where} \quad T(f) := f|_A.$$

We will make use of this to handle smooth or holomorphic functions on closed sets.

*Step 1 (Reduction to  $f_1, \dots, f_n \in \text{Hol}(\overline{\mathbb{D}})$ ):* Assume that the statement of the theorem holds for all  $\tilde{f}_1, \dots, \tilde{f}_n \in \text{Hol}(\overline{\mathbb{D}})$ , we claim that it then also holds in its original form<sup>1</sup>. For our given  $f_1, \dots, f_n$  satisfying the premise of the theorem and all  $0 < s < 1$  we

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<sup>1</sup>Note that this does **not** mean that we can assume  $f_1, \dots, f_n \in \text{Hol}(\overline{\mathbb{D}})$  in the previous proposition.

define  $f_{j,s}(z) := f_j(sz)$ ,  $j = 1, \dots, n$ . Then for every  $0 < s < 1$  the functions  $f_{j,s}$  are in  $\text{Hol}(\overline{\mathbb{D}})$  and satisfy the premise of the theorem. By our assumption there exist  $g_{j,s} \in H^\infty$ ,  $j = 1, \dots, n$  such that

$$\|g_{j,s}\|_\infty \leq C_{n,\delta}, \quad j = 1, \dots, n, \quad \text{and} \quad \sum_{j=1}^n f_{j,s} g_{j,s} = 1.$$

For a fixed  $j \in \{1, \dots, n\}$ , the set  $\{g_{j,s} : 0 < s < 1\}$  is uniformly bounded and therefore normal in  $\text{Hol}(\overline{\mathbb{D}})$ . Choose a sequence of numbers  $0 < s_m < 1$ , such that  $s_m \rightarrow 1$ . Using Montel's Theorem and passing to a subsequence  $n$  times, we obtain that for any  $j \in \{1, \dots, n\}$  there exists a  $g_j \in \text{Hol}(\overline{\mathbb{D}})$  such that  $g_{j,s_m} \rightarrow g_j$  compactly. In particular, we obtain

$$\|g_j\|_\infty = \lim_{m \rightarrow \infty} \|g_{j,s_m}\|_\infty \leq C_{n,\delta}, \quad \text{for } j = 1, \dots, n,$$

and

$$1 = \lim_{m \rightarrow \infty} \sum_{j=1}^n f_{j,s_m} g_{j,s_m} = \sum_{j=1}^n f_j g_j,$$

concluding our claim. We may thus assume that our given  $f_1, \dots, f_n$  are holomorphic on  $\overline{\mathbb{D}}$  instead.

*Step 2 (Solve in  $C^\infty(\overline{\mathbb{D}})$ ):* For  $j = 1, \dots, n$  we define

$$h_j := \frac{\bar{f}_j}{\sum_{k=1}^n |f_k|^2} \in C^\infty(\overline{\mathbb{D}}),$$

then clearly  $\sum_{j=1}^n f_j h_j = 1$  and  $\|h_j\|_\infty \leq \frac{1}{\delta}$ . The real task now lies in changing the  $h_j$  to become holomorphic in  $\mathbb{D}$ , without losing control over the boundedness of the solutions.

## 2 Wirtinger Derivatives

Before we continue we want to briefly introduce a useful generalization of the complex derivative.

**Definition 4.** Let  $\Omega \subseteq \mathbb{R}^2$  be open. Then the *Wirtinger derivatives* (or *Wirtinger operators*) are defined on  $C^1(\Omega)$  by

$$\frac{\partial}{\partial z} := \frac{1}{2} \left( \frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right), \quad \text{and} \quad \frac{\partial}{\partial \bar{z}} := \frac{1}{2} \left( \frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right).$$

We will also abbreviate these operators as  $\partial$  and  $\bar{\partial}$ , respectively.

Note that by writing a complex number  $z \in \mathbb{C}$  as  $z = x + iy$  with  $x, y \in \mathbb{R}$  we can identify  $\mathbb{C} \cong \mathbb{R}^2$ . Therefore we can also interpret the Wirtinger operators to act on  $C^1(\Omega)$  with an open subset  $\Omega \subseteq \mathbb{C}$ .

Before listing properties of the Wirtinger operators we quickly want to recall that a function  $f \in C^1(\Omega)$ ,  $f = u + iv$  is holomorphic if and only if it satisfies the *Cauchy–Riemann equations*:

$$\frac{\partial u}{\partial x} = \frac{\partial v}{\partial y}, \quad \text{and} \quad \frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x}.$$

**Remark 5.** Let  $\Omega \subseteq \mathbb{C}$  be open and  $f \in C^1(\Omega)$ .

1. The Wirtinger operators are  $\mathbb{C}$ -linear, satisfy the Leibniz rule<sup>2</sup>, the chain rule

$$\begin{aligned} \frac{\partial}{\partial z}(f \circ g) &= \left( \frac{\partial f}{\partial z} \circ g \right) \frac{\partial g}{\partial z} + \left( \frac{\partial f}{\partial \bar{z}} \circ g \right) \frac{\partial \bar{g}}{\partial z}, \\ \frac{\partial}{\partial \bar{z}}(f \circ g) &= \left( \frac{\partial f}{\partial z} \circ g \right) \frac{\partial g}{\partial \bar{z}} + \left( \frac{\partial f}{\partial \bar{z}} \circ g \right) \frac{\partial \bar{g}}{\partial \bar{z}}, \end{aligned}$$

where  $g \in C^1(\Omega)$ ,  $g(\Omega) \subseteq \Omega$ , and are compatible with complex conjugation, as in

$$\overline{\left( \frac{\partial f}{\partial z} \right)} = \frac{\partial \bar{f}}{\partial \bar{z}}, \quad \overline{\left( \frac{\partial f}{\partial \bar{z}} \right)} = \frac{\partial \bar{f}}{\partial z}$$

2. If  $f \in \text{Hol}(\Omega)$ ,  $f = u + iv$ , then

$$\frac{\partial f}{\partial z} = \frac{1}{2} \left( \frac{\partial f}{\partial x} - i \frac{\partial f}{\partial y} \right) = \frac{1}{2} \left( \frac{\partial u}{\partial x} + i \frac{\partial v}{\partial x} - i \frac{\partial u}{\partial y} + \frac{\partial v}{\partial y} \right) = \frac{\partial u}{\partial x} + i \frac{\partial v}{\partial x} = \frac{\partial f}{\partial x} = f'.$$

3. Since

$$\begin{aligned} \frac{\partial f}{\partial \bar{z}} &= \frac{1}{2} \left( \frac{\partial f}{\partial x} + i \frac{\partial f}{\partial y} \right) = \frac{1}{2} \left( \frac{\partial u}{\partial x} + i \frac{\partial v}{\partial x} + i \frac{\partial u}{\partial y} - \frac{\partial v}{\partial y} \right) = \\ &= \frac{1}{2} \left( \frac{\partial u}{\partial x} - \frac{\partial v}{\partial y} \right) + \frac{i}{2} \left( \frac{\partial v}{\partial x} + \frac{\partial u}{\partial y} \right), \end{aligned}$$

we have that<sup>3</sup>  $f \in \text{Hol}(\Omega)$  if and only if  $\bar{\partial}f = 0$ .

4. On  $C^2(\Omega)$ , the *Laplace operator* can be represented as

$$\Delta = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} = \left( \frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right) \left( \frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right) = 4 \frac{\partial}{\partial z} \frac{\partial}{\partial \bar{z}}.$$

*Proof (continued). Step 3 (The Koszul complex):* We consider the spaces

$$C_0 := C^\infty(\bar{\mathbb{D}}), \quad C_1 := (C_0)^n, \quad C_2 := \{A \in (C_0)^{n \times n} : A = -A^T\}$$

and the linear maps

$$P_{1,0} : C_1 \rightarrow C_0, (g_j)_{j=1}^n \mapsto \sum_{j=1}^n g_j f_j, \quad P_{2,1} : C_2 \rightarrow C_1, (g_{jk})_{j,k=1}^n \mapsto \left( \sum_{k=1}^n g_{jk} f_k \right)_{j=1}^n.$$

<sup>2</sup>This means that the Wirtinger operators are derivatives from an algebraic perspective.

<sup>3</sup>This can be interpreted as “ $f$  is independent of  $\bar{z}$ ”.

We also consider the operator  $\bar{\partial} : C_0 \rightarrow C_0$ . It is well-defined, since if  $f_1 \in C^\infty(\Omega_1)$ ,  $f_2 \in C^\infty(\Omega_2)$  with  $f_1 = f_2$  on  $\bar{\mathbb{D}}$ , then in particular  $f_1 = f_2$  on  $\mathbb{D}$  and therefore  $\bar{\partial}f_1 = \bar{\partial}f_2$  on  $\mathbb{D}$ . By continuity, we therefore also get  $\bar{\partial}f_1 = \bar{\partial}f_2$  on  $\bar{\mathbb{D}}$ .

Applying  $\bar{\partial}$  pointwise in  $C_1$  and  $C_2$  as well, the resulting connections are visualized in the diagram below, called the *Koszul complex*:

$$\begin{array}{ccccc} C_2 & \xrightarrow{P_{2,1}} & C_1 & \xrightarrow{P_{1,0}} & C_0 \\ \bar{\partial} \downarrow & & \bar{\partial} \downarrow & & \bar{\partial} \downarrow \\ C_2 & \xrightarrow{P_{2,1}} & C_1 & \xrightarrow{P_{1,0}} & C_0 \end{array}$$

**Lemma 6.** The Koszul complex has the following properties:

1. The diagram is commutative, that is we have  $P_{j+1,j}\bar{\partial} = \bar{\partial}P_{j+1,j}$  for  $j = 0, 1$ .
2. The horizontal sequences are exact, that is  $\text{ran } P_{2,1} = \ker P_{1,0}$ .
3. The vertical maps  $\bar{\partial} : C_j \rightarrow C_j$  for  $j = 0, 1, 2$  are surjective.

*Proof.*

1. For  $g \in C_0$  and  $f \in \text{Hol}(\bar{\mathbb{D}})$  we have

$$\bar{\partial}(gf) = f\bar{\partial}g + g\bar{\partial}f = f\bar{\partial}g$$

and together with the linearity of  $\bar{\partial}$  the statement follows.

2. “ $\subseteq$ ”: Let  $g \in C_2$ ,  $g = (g_{jk})_{j,k=1}^n$ , then

$$P_{1,0}P_{2,1}g = P_{1,0} \left[ \left( \sum_{k=1}^n g_{jk} f_k \right)_{j=1}^n \right] = \sum_{j=1}^n \sum_{k=1}^n g_{jk} f_k f_j = 0$$

since  $g$  is skew-symmetric and therefore  $g \in \ker P_{1,0}$ .

- “ $\supseteq$ ” Let  $g \in \ker P_{1,0} \subseteq C_1$ ,  $g = (g_1, \dots, g_n)$ . We define  $p = (p_{jk})_{j,k=1}^n \in C_2$  by

$$p_{jk} := \frac{1}{\sum_{\ell=1}^n |f_\ell|^2} (g_j \bar{f}_k - g_k \bar{f}_j).$$

Then for any  $j = 1, \dots, n$  we have

$$(P_{2,1}p)_j = \sum_{k=1}^n p_{jk} f_k = \frac{1}{\sum_{\ell=1}^n |f_\ell|^2} \sum_{k=1}^n (g_j |f_k|^2 - g_k \bar{f}_j f_k) =$$

$$\begin{aligned}
&= g_j - \frac{1}{\sum_{\ell=1}^n |f_\ell|^2} \overline{f_j} \sum_{k=1}^n g_k f_k = g_j - \frac{1}{\sum_{\ell=1}^n |f_\ell|^2} \overline{f_j} P_{1,0} g = \\
&= g_j,
\end{aligned}$$

and therefore  $P_{2,1}p = g$  and  $g \in \text{ran } P_{2,1}$ .

3. For given  $v \in C^\infty(\overline{\mathbb{D}})$  we want to solve the partial differential equation

$$\frac{\partial u}{\partial \bar{z}} = v \quad (\text{on } \overline{\mathbb{D}})$$

for some  $u \in C^\infty(\overline{\mathbb{D}})$ . We will approach this using a fundamental solution of the differential operator  $\bar{\partial}$ . Recall that

$$\Gamma(z) := \frac{1}{2\pi} \log |z|$$

is locally integrable, therefore can be seen as a distribution and is a fundamental solution of the Laplace operator, that is we have  $\Delta \Gamma = \delta_0$  distributionally, where  $\delta_0$  denotes the delta distribution at 0. We claim that  $\frac{1}{\pi z}$  is a fundamental solution of  $\bar{\partial}$ , and verify this, by regarding  $\bar{\partial}$  as a distributional derivative operator, via

$$\begin{aligned}
\bar{\partial} \frac{1}{z} &= \bar{\partial} \frac{\bar{z}}{|z|^2} = \frac{1}{2} (\partial_x + i\partial_y) \frac{x - iy}{x^2 + y^2} = \\
&= \frac{1}{2} \left[ \partial_x \frac{x}{x^2 + y^2} - i\partial_x \frac{y}{x^2 + y^2} + i\partial_y \frac{x}{x^2 + y^2} + \partial_y \frac{y}{x^2 + y^2} \right] = \\
&= \frac{1}{2} \left[ \partial_x^2 \log |z| + \partial_y^2 \log |z| + i \left( \frac{2xy}{x^2 + y^2} - \frac{2xy}{x^2 + y^2} \right) \right] = \\
&= \frac{1}{2} \Delta \log |z| = \frac{1}{2} 2\pi \delta_0 = \pi \delta_0.
\end{aligned}$$

Now let  $\Omega \supset \overline{\mathbb{D}}$  be open such that  $v \in C^\infty(\Omega)$  and choose  $\varphi \in C_c^\infty(\Omega)$  such that  $\varphi|_{\overline{\mathbb{D}}} = 1$ . Then  $\varphi v \in C_c^\infty(\Omega)$ , therefore

$$u(w) := \left( \frac{1}{\pi z} * \varphi v \right) (w) = \frac{1}{\pi} \int_{\Omega} \frac{\varphi(z)v(z)}{w - z} d\lambda^2(z)$$

is a classical solution of  $\bar{\partial}u = \varphi v$  in  $\Omega$ . Since  $\varphi v = v$  on  $\overline{\mathbb{D}}$ , we get  $\bar{\partial}u = v$  on  $\overline{\mathbb{D}}$ , as desired.

Arguing pointwise shows the surjectivity of the maps  $\bar{\partial} : C_\ell \rightarrow C_\ell$  for  $\ell = 0, 1$ . For  $\ell = 2$  and given  $b = (b_{jk})_{j,k=1}^n \in C_2$  we first solve

$$\bar{\partial} a_{jk} = b_{jk}, \quad \text{for } 1 \leq j < k \leq n$$

and then set  $a_{jj} = 0$  and  $a_{jk} = -a_{kj}$  for  $n \geq j > k \geq 1$ .

□

*Proof (continued). Step 4 (Apply to  $h = (h_1, \dots, h_n) \in C_1$ ):* In step 2 we constructed an element  $h = (h_1, \dots, h_n) \in C_1$  by setting

$$h_j := \frac{\bar{f}_j}{\sum_{k=1}^n |f_k|^2}.$$

By our construction we have  $P_{1,0}h = 1$  and therefore  $0 = \bar{\partial}P_{1,0}h = P_{1,0}\bar{\partial}h$ , thus  $\bar{\partial}h \in \ker P_{1,0}$ . By Lemma 6 there exists  $b \in C_2$  such that  $P_{2,1}b = \bar{\partial}h$  and  $a \in C_2$  such that  $\bar{\partial}a = b$ . We now set  $g := h - P_{2,1}a \in C_1$ . Then

$$P_{1,0}g = P_{1,0}h - P_{1,0}P_{2,1}a = 1$$

and

$$\bar{\partial}g = \bar{\partial}h - \bar{\partial}P_{2,1}a = \bar{\partial}h - P_{2,1}b = 0.$$

Therefore  $g$  is a solution to

$$\sum_{k=1}^n f_k g_k = 1$$

in  $\text{Hol}(\bar{\mathbb{D}})$ . However, we do not have an estimate on  $|g_j|$  yet.

### 3 Hardy Spaces

Let  $\mu$  denote the Lebesgue measure on  $\mathbb{T} = \{z \in \mathbb{C} : |z| = 1\}$ , i.e. the measure such that for a measurable function  $f : \mathbb{T} \rightarrow \mathbb{C}$  it holds that

$$\int_{\mathbb{T}} f \, d\mu = \int_{-\pi}^{\pi} f(e^{i\vartheta}) \, d\vartheta.$$

We define the  $L^p(\mathbb{T})$ -norms via the *normed* Lebesgue measure  $\frac{1}{2\pi}\mu$ :

$$\|f\|_p := \left( \frac{1}{2\pi} \int_{\mathbb{T}} |f|^p \, d\mu \right)^{1/p}, \quad \text{for } 1 \leq p < \infty, \quad \text{and} \quad \|f\|_{\infty} := \text{ess. sup } |f|.$$

For  $f \in L^1(\mathbb{T})$  and  $n \in \mathbb{N}$  we define the  $n$ -th *Fourier coefficient* by

$$\hat{f}(n) := \frac{1}{2\pi} \int_{\mathbb{T}} f(\xi) \xi^{-n} \, d\mu(\xi).$$

For  $1 \leq p \leq \infty$  we define the *Hardy space*  $H^p$  as the set of all  $f \in \text{Hol}(\mathbb{D})$  with  $\|f\|_p < \infty$ , where

$$\|f\|_p := \lim_{r \rightarrow 1} \left( \frac{1}{2\pi} \int_{\mathbb{T}} |f_r|^p \, d\mu \right)^{1/p} \quad \text{for } p < \infty, \quad \text{and} \quad \|f\|_{\infty} := \sup_{z \in \mathbb{D}} |f(z)|.$$

Equivalently one can interchange the limit in the definition above with the supremum over  $0 < r < 1$ . It is of note that convergence in the Hardy spaces implies compact convergence. We lastly define  $H_0^p$  as the (closed) subspace of all  $f \in H^p$ , for which  $f(0) = 0$ .

We summarize the characterisation of Hardy spaces:

**Theorem 7.** Let  $1 \leq p \leq \infty$ . Then:

1.  $H^p$  is a Banach space<sup>4</sup>.
2. For  $p \leq q \leq \infty$  it holds that  $H^p \supseteq H^q$ .
3. Let  $f \in H^p$ , then for almost all  $\xi \in \mathbb{T}$  the limit

$$\lim_{r \rightarrow 1} f(r\xi) =: f^*(\xi)$$

exists and defines a function in  $L^p(\mathbb{T})$ , also called the *boundary values* of  $f$ . If  $p < \infty$ , we also have  $\lim_{r \rightarrow 1} \|f^* - f_r\|_p = 0$ , where  $f_r(\xi) := f(r\xi)$ .

4. The map  $*$  :  $f \mapsto f^*$  is an isometry from  $H^p$  onto

$$L_+^p(\mathbb{T}) := \{f \in L^p(\mathbb{T}) : \forall n < 0 : \hat{f}(n) = 0\},$$

which is a closed subspace of  $L^p(\mathbb{T})$ .

*Proof (continued).* Returning to our proof, recall that we want to obtain a bound on the functions  $\|g_j\|_\infty$ , where

$$g_j = h_j - \sum_{k=1}^n a_{jk} f_k,$$

and  $a_{jk} \in C^\infty(\overline{\mathbb{D}})$  is a solution of the partial differential equation

$$\frac{\partial y}{\partial \bar{z}} = \left( \sum_{\ell=1}^n |f_\ell|^2 \right)^{-1} \left( \frac{\partial h_j}{\partial \bar{z}} \bar{f}_k - \frac{\partial h_k}{\partial \bar{z}} \bar{f}_j \right).$$

We want to show that the solution  $a_{jk}$  can be chosen in a way, that the resulting functions  $g_j$  are bounded in the  $H^\infty$ -norm by a constant depending only on  $n$  and  $\delta$ , that is

$$\|g_j\|_\infty \leq C_{n,\delta}.$$

Note that we only need  $g_j \in H^\infty$ , not necessarily  $\in \text{Hol}(\overline{\mathbb{D}})$ . Denote by  $u_{jk}$  the right-hand side of the partial differential equation above. We fix a solution  $\bar{\partial} v_{jk} = u_{jk}$  and notice that if  $\bar{\partial} a_{jk} = u_{jk}$  is another solution bounded on  $\mathbb{D}$ , then

$$\bar{\partial}(a_{jk} - v_{jk}) = \bar{\partial} a_{jk} - \bar{\partial} v_{jk} = 0,$$

that is the difference is bounded and holomorphic, thus in  $H^\infty$ . We can therefore write

$$a_{jk} = v_{jk} + p, \quad p \in H^\infty.$$

We can view  $a_{jk}$  as an element of  $L^\infty(\mathbb{T})$  by considering  $v_{jk}|_{\mathbb{T}} \in L^\infty(\mathbb{T})$  and  $p^* \in (H^\infty)^* \subset L^\infty(\mathbb{T})$ . If we manage to bound

$$\|a_{jk}\|_{L^\infty(\mathbb{T})} = \text{ess. sup}_{z \in \mathbb{T}} |a_{jk}(z)| \leq K_{n,\delta},$$

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<sup>4</sup>In particular,  $H^\infty$  is a Banach algebra, which we already used in the introduction.

we immediately get

$$\|g_j\|_{H^\infty} \leq \|h_j\|_{H^\infty} + \sum_{k=1}^n \|a_{jk}f_k\|_{H^\infty} \leq \frac{1}{\delta} + \sum_{k=1}^n \|a_{jk}\|_{L^\infty(\mathbb{T})} \|f_k\|_{H^\infty} \leq \frac{1}{\delta} + nK_{n,\delta},$$

resulting in the claim of the theorem.

Note that we can vary  $\|a_{jk}\|_{L^\infty(\mathbb{T})}$  by choosing different functions  $p \in H^\infty$ . We therefore want to bound the quantity

$$\inf_{p \in H^\infty} \|v_{jk} + p^*\|_\infty,$$

which is precisely the norm of  $v_{jk}$  in the quotient space  $L^\infty(\mathbb{T})/(H^\infty)^*$ . The following lemma allows us to translate the minimization problem into a maximization problem.

**Lemma 8.** The map

$$\Phi : L^\infty(\mathbb{T})/(H^\infty)^* \rightarrow ((H_0^1)^*)', f + (H^\infty)^* \mapsto \left[ g \mapsto \frac{1}{2\pi} \int_{\mathbb{T}} fg \, d\mu \right]$$

is an isometric isomorphism.

*Proof.* We have  $L^\infty(\mathbb{T}) \cong L^1(\mathbb{T})'$  isometrically via the duality

$$\langle f, g \rangle := \frac{1}{2\pi} \int_{\mathbb{T}} fg \, d\mu, \quad f \in L^\infty(\mathbb{T}), g \in L^1(\mathbb{T}).$$

Since  $(H_0^1)^* \leq L^1(\mathbb{T})$  we therefore have  $((H_0^1)^*)' \cong L^\infty(\mathbb{T})/((H_0^1)^*)^\perp$  via

$$\langle f, g \rangle = \frac{1}{2\pi} \int_{\mathbb{T}} fg \, d\mu, \quad f \in L^\infty(\mathbb{T})/((H_0^1)^*)^\perp, g \in (H_0^1)^*.$$

It remains to show that  $((H_0^1)^*)^\perp = (H^\infty)^*$ . Let  $w^* \in ((H_0^1)^*)^\perp \leq L^\infty(\mathbb{T})$ , then for any  $n \in \mathbb{N}$  we have

$$0 = \langle w^*, (z^n)^* \rangle = \langle w^*, z^n \rangle = \widehat{w^*}(-n).$$

Therefore  $w^* \in L_+^\infty(\mathbb{T}) = (H^\infty)^*$ . For the other inclusion let  $w^* \in (H^\infty)^*$  and  $h^* \in (H_0^1)^*$ , then

$$\begin{aligned} \langle w^*, h^* \rangle &= \frac{1}{2\pi} \int_0^{2\pi} w^*(e^{i\vartheta}) h^*(e^{i\vartheta}) \, d\vartheta = \lim_{r \rightarrow 1} \frac{1}{2\pi} \int_0^{2\pi} w(re^{i\vartheta}) h(re^{i\vartheta}) \frac{ie^{i\vartheta}}{ie^{i\vartheta}} \, d\vartheta = \\ &= \lim_{r \rightarrow 1} \frac{1}{2\pi i} \int_{\mathbb{T}} \frac{w_r(\zeta) h_r(\zeta)}{\zeta} \, d\zeta = \lim_{r \rightarrow 1} w_r(0) h_r(0) = 0, \end{aligned}$$

where the second-to-last equation follows since  $w_r h_r \in \text{Hol}(\overline{\mathbb{D}})$  and the last one since  $h(0) = 0$ . Therefore  $w^* \in ((H_0^1)^*)^\perp$ , concluding the proof.  $\square$

*Proof (continued). Step 5 (Dualisation):* We now abbreviate  $v_{jk}$  as  $v$ . Applying the above lemma to our previous situation we can re-describe the norm of  $v + (H^\infty)^* \in L^\infty(\mathbb{T})/(H^\infty)^*$  as

$$\|\Phi(v + (H^\infty)^*)\| = \sup_{\substack{F \in (H_0^1)^* \\ \|F\|_1 \leq 1}} |\Phi(v + (H^\infty)^*)(F)| = \sup_{\substack{F \in H_0^1 \\ \|F\|_1 \leq 1}} \left| \frac{1}{2\pi} \int_{\mathbb{T}} v F^* \, d\mu \right| =$$

$$= \sup_{\substack{F \in H_0^1 \\ \|F\|_1 \leq 1}} \left| \lim_{r \rightarrow 1} \frac{1}{2\pi} \int_{\mathbb{T}} v F_r \, d\mu \right| = \sup_{\substack{F \in \text{Hol}(\overline{\mathbb{D}}) \\ F(0)=0, \|F\|_1 \leq 1}} \left| \frac{1}{2\pi} \int_{\mathbb{T}} v F \, d\mu \right|.$$

We now want to bound this supremum, where, as before,  $v \in C^\infty(\overline{\mathbb{D}})$ .

*Step 6:* We want to redescribe the integral

$$\frac{1}{2\pi} \int_{\mathbb{T}} v F \, d\mu,$$

where  $v \in C^\infty(\overline{\mathbb{D}})$  and  $F \in \text{Hol}(\overline{\mathbb{D}})$ ,  $F(0) = 0$ ,  $\|F\|_1 \leq 1$ . Let  $\sigma := vF$  and

$$\varphi(z) := \frac{1}{2\pi} \log |z|, \quad z \neq 0.$$

For  $\varepsilon > 0$  let  $\mathbb{D}_\varepsilon := \mathbb{D} \setminus \overline{B_\varepsilon(0)}$ . By Green's second identity we have

$$\int_{\mathbb{D}_\varepsilon} \sigma \Delta \varphi - \varphi \Delta \sigma \, d\lambda^2 = \int_{\mathbb{T}} \sigma \frac{\partial \varphi}{\partial r} - \varphi \frac{\partial \sigma}{\partial r} \, d\mu - \int_{\partial B_\varepsilon(0)} \sigma \frac{\partial \varphi}{\partial r} - \varphi \frac{\partial \sigma}{\partial r} \, d\mathcal{H}^1,$$

where  $\frac{\partial}{\partial r}$  denotes the radial derivative and  $\mathcal{H}^1$  denotes the Hausdorff measure (or any kind of surface measure for that matter). Simplifying results in

$$- \int_{\mathbb{D}_\varepsilon} \varphi \Delta \sigma \, d\lambda^2 = \frac{1}{2\pi} \int_{\mathbb{T}} \sigma \, d\mu - \frac{1}{2\pi} \int_{\partial B_\varepsilon(0)} \frac{\sigma}{\varepsilon} - \frac{\partial \sigma}{\partial r} \log \varepsilon \, d\mathcal{H}^1.$$

By the intermediate value theorem for integrals for any  $\varepsilon > 0$  there exists a  $\zeta_\varepsilon \in \partial B_\varepsilon(0)$  such that

$$\frac{1}{2\pi\varepsilon} \int_{\partial B_\varepsilon(0)} |\sigma| \, d\mathcal{H}^1 = |\sigma(\zeta_\varepsilon)| \rightarrow |\sigma(0)| = |v(0)F(0)| = 0.$$

Furthermore  $|\frac{\partial \sigma}{\partial r}| \leq M$  on  $\overline{\mathbb{D}}$  for some  $M > 0$ , thus

$$\frac{1}{2\pi} \int_{\partial B_\varepsilon(0)} \left| \frac{\partial \sigma}{\partial r} \log \varepsilon \right| \, d\mathcal{H}^1 \leq M\varepsilon \log \varepsilon \rightarrow 0.$$

Finally,

$$\Delta \sigma = \Delta(vF) = 4\partial\bar{\partial}(vF) = 4\partial(v\bar{\partial}F + F\bar{\partial}v) = 4\partial(Fu) = 4(F\partial u + uF').$$

With  $\psi := -\varphi = \frac{1}{2\pi} \log \frac{1}{|z|}$ , by letting  $\varepsilon \rightarrow 0$  we thus obtain

$$\begin{aligned} \frac{1}{2\pi} \int_{\mathbb{T}} v F \, d\mu &= 4 \int_{\mathbb{D}} \psi (F\partial u + uF') \, d\lambda^2 = \\ &= 4 \left( \int_{\mathbb{D}} F \partial u \psi \, d\lambda^2 + \int_{\mathbb{D}} u F' \psi \, d\lambda^2 \right) =: 4(I_1 + I_2). \end{aligned}$$

Our goal is to show the existence of a constant  $K_{n,\delta}$  such that

$$\left| \frac{1}{2\pi} \int_{\mathbb{T}} v F \, d\mu \right| \leq 4(|I_1| + |I_2|) \leq K_{n,\delta}.$$

To do this we want to describe  $I_1, I_2$  more explicitly. Recall that

$$u = \tau(\bar{f}_k \bar{\partial} h_j - \bar{f}_j \bar{\partial} h_k), \quad \text{where} \quad \tau := \left( \sum_{\ell=1}^n |f_\ell|^2 \right)^{-1}.$$

Since  $h_\ell = \tau \bar{f}_\ell$  we first calculate want to calculate  $\bar{\partial} \tau$ . We first notice that with function  $m(z) := z^{-1}$  and any nonvanishing function  $\alpha \in C^\infty$  we have by the chain rule

$$\partial \alpha^{-1} = \partial(m \circ \alpha) = (\partial m \circ \alpha) \partial \alpha + (\bar{\partial} m \circ \alpha) \partial \bar{\alpha} = -\alpha^{-2} \partial \alpha,$$

and analogously  $\bar{\partial} \alpha^{-1} = -\alpha^{-2} \bar{\partial} \alpha$ . Therefore

$$\begin{aligned} \bar{\partial} \tau &= -\tau^2 \sum_{\ell=1}^n \bar{\partial}(f_\ell \bar{f}_\ell) = -\tau^2 \sum_{\ell=1}^n (f_\ell \bar{\partial} \bar{f}_\ell + \bar{f}_\ell \bar{\partial} f_\ell) = \\ &= -\tau^2 \sum_{\ell=1}^n f_\ell \bar{f}'_\ell =: -\tau^2 \eta, \\ \partial \tau &= \dots = -\tau^2 \bar{\eta}. \end{aligned}$$

We therefore obtain  $\bar{\partial} h_\ell = \tau \bar{\partial} \bar{f}_\ell + \bar{f}_\ell \bar{\partial} \tau = \tau(\bar{f}'_\ell - \bar{f}_\ell \tau \eta)$  and by that the representations

$$\begin{aligned} u &= \tau(\bar{f}_k \bar{\partial} h_j - \bar{f}_j \bar{\partial} h_k) = \tau^2(\bar{f}_k \bar{f}'_j - \bar{f}_k \bar{f}_j \tau \eta - \bar{f}_j \bar{f}'_k + \bar{f}_j \bar{f}_k \tau \eta) = \tau^2(\bar{f}_k \bar{f}'_j - \bar{f}_j \bar{f}'_k), \\ \partial u &= \tau^2 \partial(\bar{f}_k \bar{f}'_j - \bar{f}_j \bar{f}'_k) + (\bar{f}_k \bar{f}'_j - \bar{f}_j \bar{f}'_k) \partial \tau^2 = -2\tau^3 \left( \sum_{\ell=1}^n \bar{f}_\ell \bar{f}'_\ell \right) (\bar{f}_k \bar{f}'_j - \bar{f}_j \bar{f}'_k). \end{aligned}$$

Inserting back we obtain (since  $|f_\ell| \leq 1$  and  $|\tau| \leq \delta^{-1}$ )

$$\begin{aligned} |I_1| &= \left| -2 \int_{\mathbb{D}} \tau^3 \left( \sum_{\ell=1}^n \bar{f}_\ell \bar{f}'_\ell \bar{f}_k \bar{f}'_j - \bar{f}_\ell \bar{f}'_\ell \bar{f}_j \bar{f}'_k \right) F \psi \, d\lambda^2 \right| \leq \\ &\leq \frac{2}{\delta^3} \sum_{\ell=1}^n \left( \int_{\mathbb{D}} |f'_\ell \bar{f}_j F| \psi \, d\lambda^2 + \int_{\mathbb{D}} |f'_\ell \bar{f}_k F| \psi \, d\lambda^2 \right), \\ |I_2| &= \left| \int_{\mathbb{D}} \tau^2 (\bar{f}_k \bar{f}'_j - \bar{f}_j \bar{f}'_k) F' \psi \, d\lambda^2 \right| \leq \\ &\leq \frac{1}{\delta^2} \left( \int_{\mathbb{D}} |f'_j F'| \psi \, d\lambda^2 + \int_{\mathbb{D}} |f'_k F'| \psi \, d\lambda^2 \right). \end{aligned}$$

It therefore suffices to bound the integrals

$$J_1 := \int_{\mathbb{D}} |f'_1 f'_2 F| \psi \, d\lambda^2, \quad J_2 := \int_{\mathbb{D}} |f' F'| \psi \, d\lambda^2,$$

where  $f, f_1, f_2, F \in \text{Hol}(\overline{\mathbb{D}})$  and  $\|f\|_\infty, \|f_1\|_\infty, \|f_2\|_\infty, \|F\|_1 \leq 1$ . The issue is that a-priori we do not have bounds on the derivatives.

## 4 Integral estimates

We will require the following lemma regarding the  $H^1$ - and  $H^2$ -norms:

**Lemma 9.** Let  $f \in \text{Hol}(\overline{\mathbb{D}})$ , then there exist  $g_1, g_2 \in \text{Hol}(\overline{\mathbb{D}})$  such that

$$f = g_1 g_2, \quad \text{and} \quad \|g_1\|_2^2 = \|g_2\|_2^2 = \|f\|_1.$$

The desired bounds are now contained in the following lemma:

**Lemma 10.** Let  $f, g, u, v \in \text{Hol}(\overline{\mathbb{D}})$ , then the following integral estimates hold:

1.  $\int_{\mathbb{D}} |f'|^2 \psi \, d\lambda^2 \leq \frac{1}{4} \|f\|_2^2$
2.  $\int_{\mathbb{D}} |f g'|^2 \psi \, d\lambda^2 \leq \|f\|_2^2 \|g\|_\infty^2$
3.  $\int_{\mathbb{D}} |f g u' v'| \psi \, d\lambda^2 \leq \|f\|_2 \|g\|_2 \|u\|_\infty \|v\|_\infty$
4.  $\int_{\mathbb{D}} |f u' v'| \psi \, d\lambda^2 \leq \|f\|_1 \|u\|_\infty \|v\|_\infty$
5.  $\int_{\mathbb{D}} |f g' u'| \psi \, d\lambda^2 \leq \frac{1}{2} \|f\|_2 \|g\|_2 \|u\|_\infty$
6.  $\int_{\mathbb{D}} |f' u'| \psi \, d\lambda^2 \leq \|f\|_1 \|u\|_\infty$

*Proof.*

1. Applying Green's formula on  $f\bar{f}$  and  $\psi$  yields

$$\int_{\mathbb{D}_\varepsilon} \psi \Delta(f\bar{f}) - f\bar{f} \Delta \psi \, d\lambda^2 = \int_{\mathbb{T}} \psi \frac{\partial(f\bar{f})}{\partial r} - f\bar{f} \frac{\partial \psi}{\partial r} \, d\mu - \int_{\partial B_\varepsilon(0)} \psi \frac{\partial(f\bar{f})}{\partial r} - f\bar{f} \frac{\partial \psi}{\partial r} \, d\mathcal{H}^1,$$

and simplifying we obtain

$$\int_{\mathbb{D}_\varepsilon} \psi \Delta(f\bar{f}) \, d\lambda^2 = \frac{1}{2\pi} \int_{\mathbb{T}} |f|^2 \, d\mu + \frac{\log \varepsilon}{2\pi} \int_{\partial B_\varepsilon(0)} \frac{\partial(f\bar{f})}{\partial r} \, d\mathcal{H}^1 - \frac{1}{2\pi\varepsilon} \int_{\partial B_\varepsilon(0)} |f|^2 \, d\mathcal{H}^1$$

Arguing as before, taking  $\varepsilon \rightarrow 0$  we get

$$\int_{\mathbb{D}} \psi \Delta(f\bar{f}) \, d\lambda^2 = \|f\|_2^2 - |f(0)|^2.$$

Since

$$\begin{aligned} \Delta(f\bar{f}) &= 4\partial\bar{\partial}(f\bar{f}) = 4\partial(\bar{f} \cdot \bar{\partial}f + f \cdot \bar{\partial}\bar{f}) = 4\partial(f \cdot \bar{\partial}\bar{f}) = 4(\partial f \cdot \bar{\partial}\bar{f} + f \cdot \bar{\partial}\partial\bar{f}) = \\ &= 4(\partial f \cdot \bar{\partial}\bar{f} + f \cdot \bar{\partial}\bar{\partial}\bar{f}) = 4f'\bar{f}' = 4|f'|^2 \end{aligned}$$

and  $|f(0)|^2 \geq 0$  we obtain

$$\int_{\mathbb{D}} |f'|^2 \psi \, d\lambda^2 \leq \frac{1}{4} \|f\|_2^2.$$

2. We have  $fg' = (fg)' - f'g$ , therefore

$$|fg'|^2 \leq (|(fg)'| + |f'g|)^2 \leq 2(|(fg)'|^2 + |f'g|^2) \leq 2(|(fg)'|^2 + \|g\|_\infty^2 |f'|^2).$$

Integrating and using 1. yields

$$\begin{aligned} \int_{\mathbb{D}} |fg'|^2 \psi \, d\lambda^2 &\leq 2 \int_{\mathbb{D}} |(fg)'|^2 \psi \, d\lambda^2 + 2\|g\|_\infty^2 \int_{\mathbb{D}} |f'|^2 \psi \, d\lambda^2 \leq \\ &\leq \frac{1}{2} \|fg\|_2^2 + \frac{1}{2} \|f\|_2^2 \|g\|_\infty^2 \leq \|f\|_2^2 \|g\|_\infty^2. \end{aligned}$$

3. Consider the positive measure  $\nu := \psi \, d\lambda^2$ . Invoking the Cauchy-Schwarz inequality in  $L^2(\nu)$  and using 2. we get

$$\begin{aligned} \int_{\mathbb{D}} |fgu'v'| \psi \, d\lambda^2 &\leq \left( \int_{\mathbb{D}} |fu'| \psi \, d\lambda^2 \right)^{1/2} \left( \int_{\mathbb{D}} |gv'| \psi \, d\lambda^2 \right)^{1/2} \leq \\ &\leq \|f\|_2 \|u\|_\infty \|g\|_2 \|v\|_\infty. \end{aligned}$$

4. By Lemma 9 we can write  $f = g_1 g_2$  with  $g_1, g_2 \in \text{Hol}(\overline{\mathbb{D}})$  and  $\|g_1\|_2^2 = \|g_2\|_2^2 = \|f\|_1$ . Using 3. we then obtain

$$\begin{aligned} \int_{\mathbb{D}} |fu'v'| \psi \, d\lambda^2 &= \int_{\mathbb{D}} |g_1 g_2 u'v'| \psi \, d\lambda^2 \leq \\ &\leq \|g_1\|_2 \|g_2\|_2 \|u\|_\infty \|v\|_\infty = \|f\|_1 \|u\|_\infty \|v\|_\infty. \end{aligned}$$

5. Using the Cauchy-Schwarz inequality in  $L^2(\nu)$ , as well as 1. and 2. we obtain

$$\begin{aligned} \int_{\mathbb{D}} |fg'u'| \psi \, d\lambda^2 &\leq \left( \int_{\mathbb{D}} |fu'| \psi \, d\lambda^2 \right)^{1/2} \left( \int_{\mathbb{D}} |g'| \psi \, d\lambda^2 \right)^{1/2} \leq \\ &\leq \|f\|_2 \|u\|_\infty \cdot \frac{1}{2} \|g\|_2 = \frac{1}{2} \|f\|_2 \|g\|_2 \|u\|_\infty. \end{aligned}$$

6. We write  $f = g_1 g_2$  as in 4. and use 5. to obtain

$$\begin{aligned} \int_{\mathbb{D}} |f'u'| \psi \, d\lambda^2 &\leq \int_{\mathbb{D}} |(g_1 g_2)'u'| \psi \, d\lambda^2 \leq \int_{\mathbb{D}} |g_1' g_2 u'| \psi \, d\lambda^2 + \int_{\mathbb{D}} |g_1 g_2' u'| \psi \, d\lambda^2 \leq \\ &\leq \frac{1}{2} \|g_2\|_2 \|g_1\|_2 \|u\|_\infty + \frac{1}{2} \|g_1\|_2 \|g_2\|_2 \|u\|_\infty = \|f\|_1 \|u\|_\infty. \quad \square \end{aligned}$$

*Proof (continued). Step 7 (Conclusion):* Using Lemma 10, specifically points 4. and 6., we obtain  $|J_1| \leq 1$  and  $|J_2| \leq 1$  concluding the proof.  $\square$